



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

This will acknowledge receipt of the following:

1. Transmittal Form
2. Fee Transmittal (in duplicate)
3. Assertion of Small Entity Status
4. Preliminary Amendment (14 pages)
5. Five (5) Replacement Sheets of Drawings
6. Permission to charge Deposit Account # 19-2380 in the amount of \$275; representing Additional Independent Claims Fee

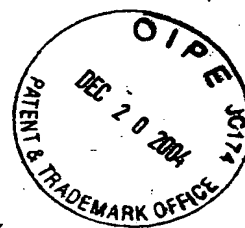
In re PATENT Application of:

Inventor(s): D. Joseph NEELY et al.

Serial No. 10/781,857

Filed: February 20, 2004

Title: CONVEYOR BELT AND METHOD OF ASSEMBLY



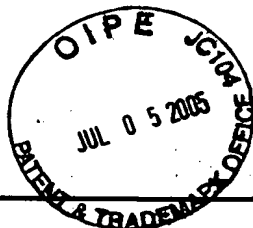
**Due Date: None**

**Docket No. 744475-57**

JLC/DS/slt

Date: 12/20/2004

**HAND CARRY**

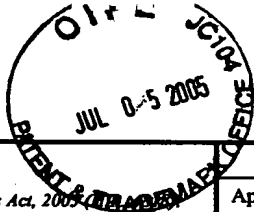


<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/781,857
		Filing Date	February 20, 2004
		First Named Inventor	D. Joseph NEELY
		Group Art Unit	3651
		Examiner Name	
Total Number of Pages in This Submission	23	Attorney Docket Number	744475-57

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Assertion of Small Entity Status
Remarks	<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Daniel S. Song, Reg. No. 43,143 Nixon Peabody LLP 401 9th Street, N.W. Suite 900 Washington, D.C. 20004-2128
Signature	
Date	December 20, 2004

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Name (Print/Type)			
Signature		Date	



<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Complete if Known		
		Application Number	10/781,857	
		Filing Date	February 20, 2004	
		First Named Inventor	D. Joseph NEELY	
		Examiner Name		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	3651	
TOTAL AMOUNT OF PAYMENT (\$)		275.00	Attorney Docket No.	744475-57

**METHOD OF PAYMENT** (check all that apply)

☐ Check   ☐ Credit Card   ☐ Money Order   ☐ None   ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account   Deposit Account Number: 19-2380   Deposit Account Name: Nixon Peabody LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below   ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17   ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-20238.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple document claims	360	180

**Total Claims**   56   - 45 =   11   x   25   =   275   **Fee Paid (\$)**

**Multiple Dependent Claims**            **Fee (\$)**            **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims**   7   - 7 =   0   x   100   =   0   **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**            - 100 =            / 50 =            (round up to a whole number)   x            =            **Fee Paid (\$)**

**4. OTHER FEE(S)**

Non-English Specification,   \$130 fee (no small entity discount)         

Other:         

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	43,143	Telephone	(202) 585-8000
Name (Print/Type)	Daniel S. Song	Date	December 20, 2004		



Attorney Docket No. 744475-57

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT application of: )  
D. Joseph NEELY et al. ) Confirmation No. 1655  
Application No. 10/781,857 ) Group Art Unit: 3651  
Filed: February 20, 2004 ) Examiner: Not Yet Assigned  
For: CONVEYOR BELT AND )  
METHOD OF ASSEMBLY )

**ASSERTION OF SMALL ENTITY STATUS  
(37 C.F.R. §1.27(c)(1))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby states that applicant is a small entity and that status as a small entity is asserted for this application.

Respectfully submitted,

Daniel S. Song  
Registration No. 43,143

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Date: December 20, 2004



Attorney Docket No. 744475-57

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT application of	)	
D. Joseph NEELY et al.	)	Confirmation No. 1655
Application No. 10/781,857	)	Group Art Unit: 3651
Filed: February 20, 2004	)	Examiner: Not Yet Assigned
For: CONVEYOR BELT AND	)	
METHOD OF ASSEMBLY	)	

**PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified patent application as follows.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 4 of this paper.

**Amendments to the Drawings** begin on page 13 of this paper.